

AUDIT COMMITTEE – 19TH NOVEMBER 2019

Report of the Head of Strategic Support

ITEM WORK PROGRAMME

Purpose of Report

This report is submitted to enable the Committee to consider its Work Programme.

Action Requested

Following consideration of the Work Programme, the Committee is asked to consider any deletions, additions or amendments it wishes to make.

This will enable planning for future meetings to be undertaken, for reports and information to be prepared and for the attendance of officers and/or others to be arranged.

Background

The Work Programme agreed at the last meeting of the Committee is attached as an appendix for the consideration of the Committee.

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ISSUE	MEETING
Internal Audit Business	Ongoing
Internal Audit Plan – Progress	18th February 2020 Quarterly
Risk Management (Risk Register)	18th February 2020 Quarterly - detailed report every six months, exception report quarters in-between.
Council's Use of Regulation of Investigatory Powers Act (RIPA)	18th February 2020 Quarterly
2019/20 Treasury Management Statement, Annual Investment Strategy and MRP Strategy	18th February 2020 Annually
2020/21 Internal Audit Plan	18th February 2020 Annually
2019/20 Annual Internal Audit Report	9th June 2020 Annually
2019/20 Review of the effectiveness of Internal Audit (Feedback from Panel)	9th June 2020 Annually
Internal Audit Charter	9th June 2020 Annually (for approval)
2019/20 Members' Allowances Claimed	9th June 2020 Annually
Whistle Blowing and Anti-fraud, Corruption and Bribery	9th June 2020 Annually
Environmental Audits – Report on Outcomes	9th June 2020 Annually <i>Note: Six month exception report where identified actions are not implemented by the target date.</i>
2019/20 Treasury Management Outturn	9th June 2020 Annually
2019/20 Statement of Accounts	28th July 2020 (Accounts Meeting) Annually
2019/20 Annual Governance Statement and Review of the Code of	28th July 2020 (Accounts Meeting)

Corporate Governance	Annually
Environmental Audits Outcomes – Progress update	22nd September 2020
Annual IT Health Check (Code of Connection) Confidential Report	22nd September 2020 Annually
Treasury Management Mid-Year Review	22nd December 2020 Annually
Future of Local Public Audit	Report on Government proposals considered 5th July 2011. Further report once final regulations/guidelines are known. <u>Note:</u> Appointing Your External Auditor briefing note considered June 2016.
Policy for Engagement of External Auditors for non-audit work	Considered March 2013. Review policy - date to be agreed
External Audit Business	Ongoing
External Audit Progress Report and Technical Update	18th February 2020 Quarterly
2019/20 Annual Audit Letter	18th February 2020 Annually
Certification of Claims and Returns (2019/20 Audit)	18th February 2020 Annually
2020/21 External Audit Plan	18th February 2020 Annually
2019/20 Annual Governance Report	28th July 2020 (Accounts Meeting) Annually